

# Behind the Mask:

*Fundamentals of Standard and Transmission-Based Precautions*

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Miranda Neumann MSN, RN, CMSRN





## **Alisha Sheffield MSN, RN CIC**

Alisha is an Infection Preventionist and Registered Nurse with 21 years of experience in a variety of healthcare settings including ambulatory, acute care, and surgical areas. Over the past 13 years, she has worked as an Infection Preventionist in outpatient surgery as well as at a large academic medical center. Her recent work has focused on utilizing her IPC expertise to develop infection control tools and resources to assist Infection Preventionists in under-resourced settings.

## **Miranda Neumann, MSN, RN, CMSRN**

Dedicated and highly skilled Registered Nurse with over 6 years of experience with a proven track record of providing exceptional patient care, supervision, and mentorship of nursing staff, and driving quality improvement initiatives. Demonstrated leadership abilities in prioritizing patient-centered care while adhering to policies and procedures that result in positive outcomes and operational excellence.

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# IPC Program Objectives



Review the critical components of standard and transmission-based precautions.



Interpret evidence-based guidelines, regulatory requirements, and industry standards to ensure compliance, preparedness, and best practices.



Implement practical strategies to monitor, audit, and enhance compliance with standard and transmission-based precautions



Discuss strategies for healthcare worker education, practice observation, and compliance challenges



Synthesize knowledge of Standard and Transmission-Based Precautions to develop a foundational understanding that enhances readiness for progressive learning in infection prevention and special pathogen preparedness.

## Foundations of Isolation: Precautions, Protection, Preparedness

Today  
Standard &  
Transmission-  
Based Precautions

February  
Personal  
Protective  
Equipment

April  
Identify,  
Isolate,  
Inform

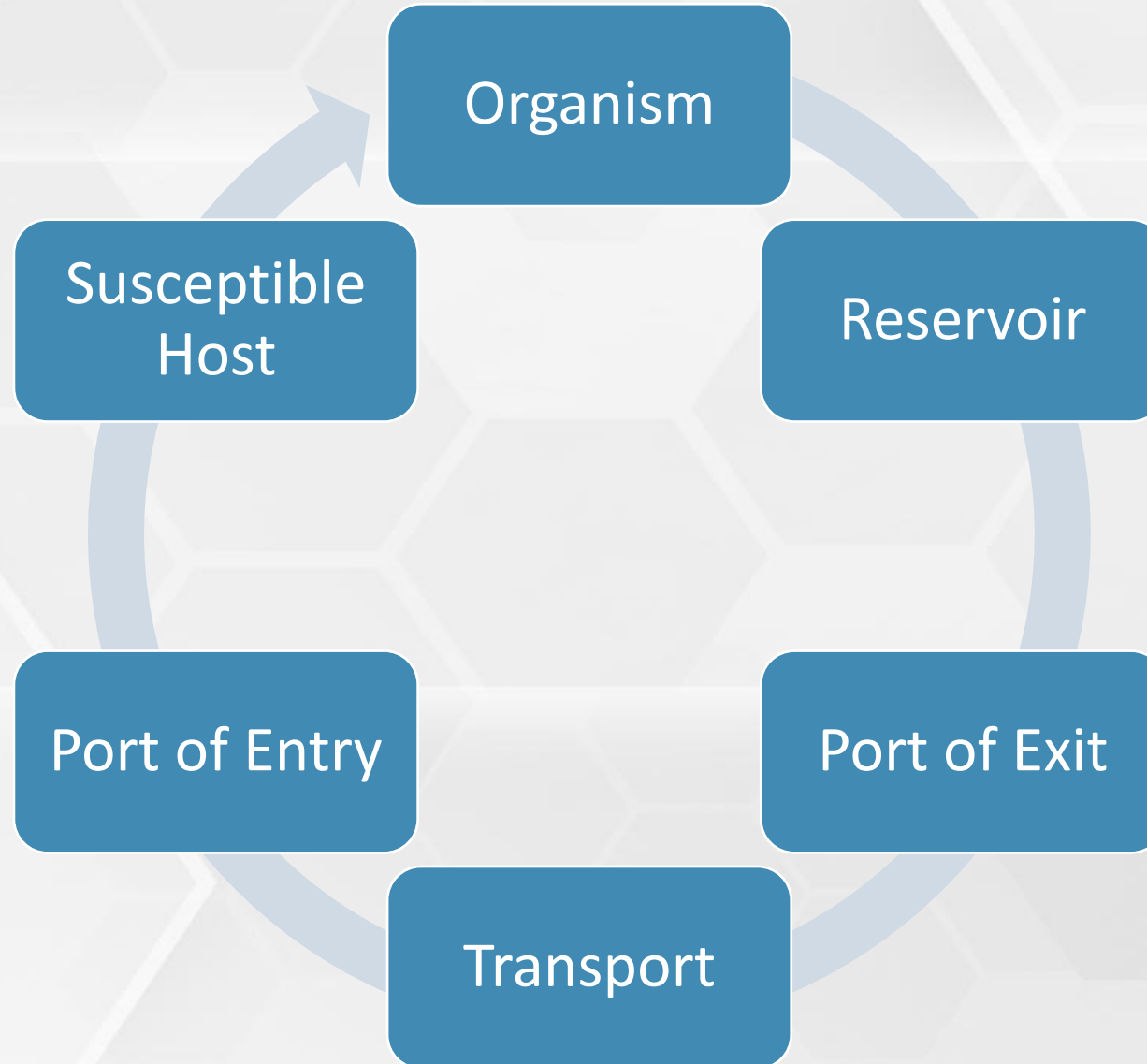
## Personal Protective Equipment

### Standard & Transmission-Based Precautions through the Infection Prevention Lens

Reinforcing the Fundamentals of Safe  
Care



# Elements of Transmission



# Elements of Transmission

## 5 Elements of Transmission

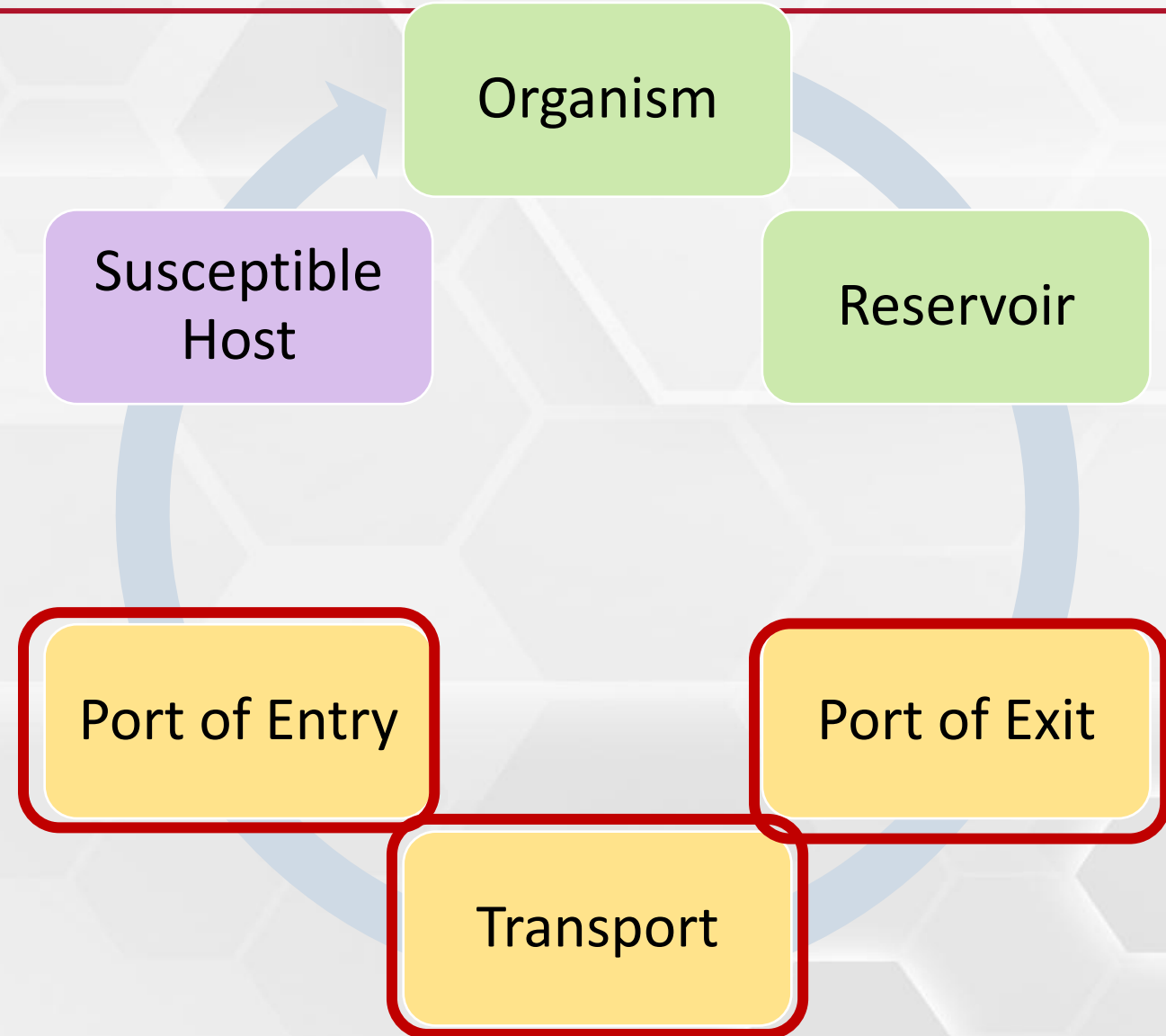
Reservoirs

Pathways

Person to Infect

Body's Defenses

Pathogen Survival



Organism

Susceptible  
Host

Reservoir

Port of Entry

Port of Exit

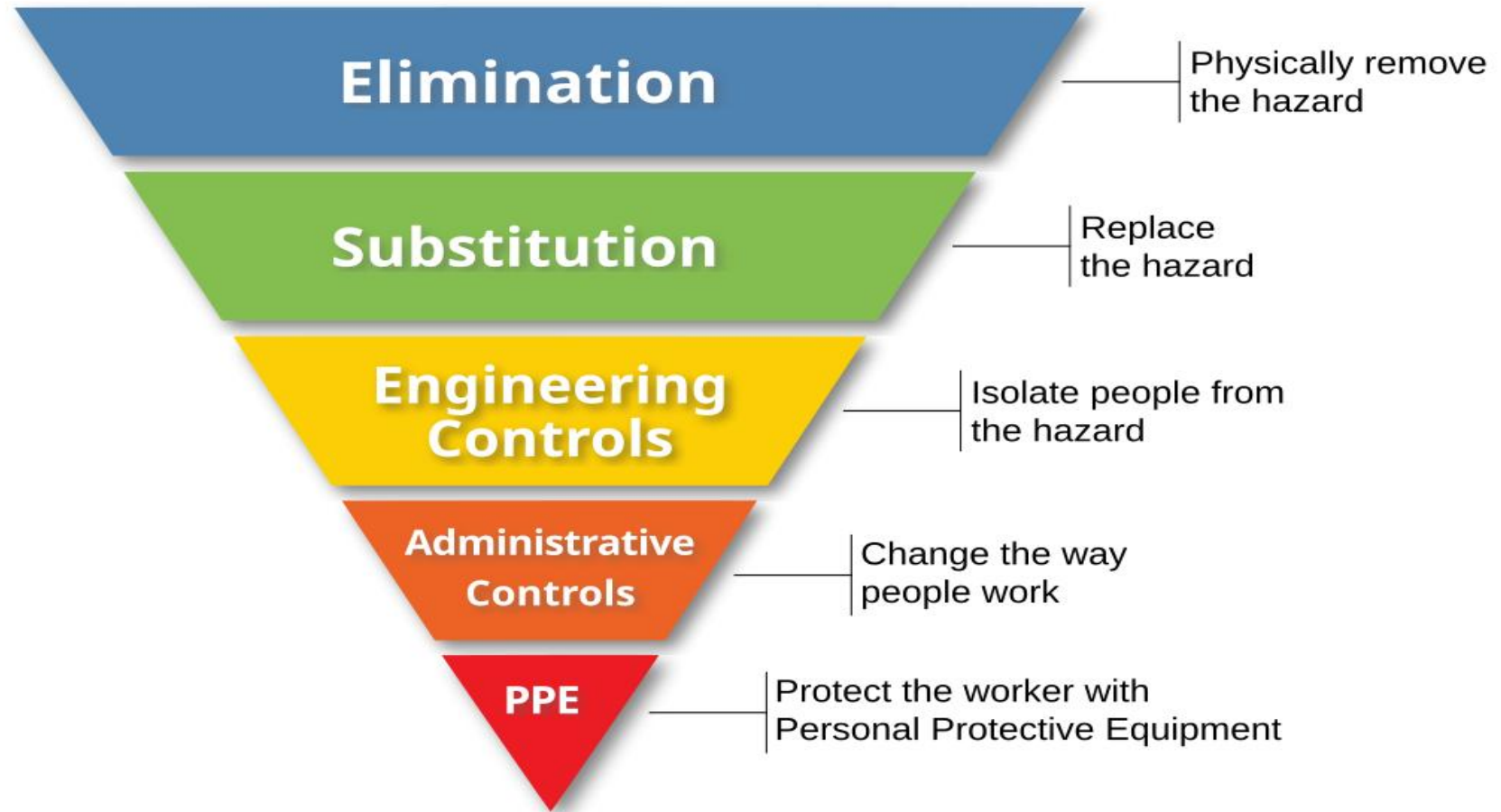
Transport

## Hierarchy of Controls

Most  
effective

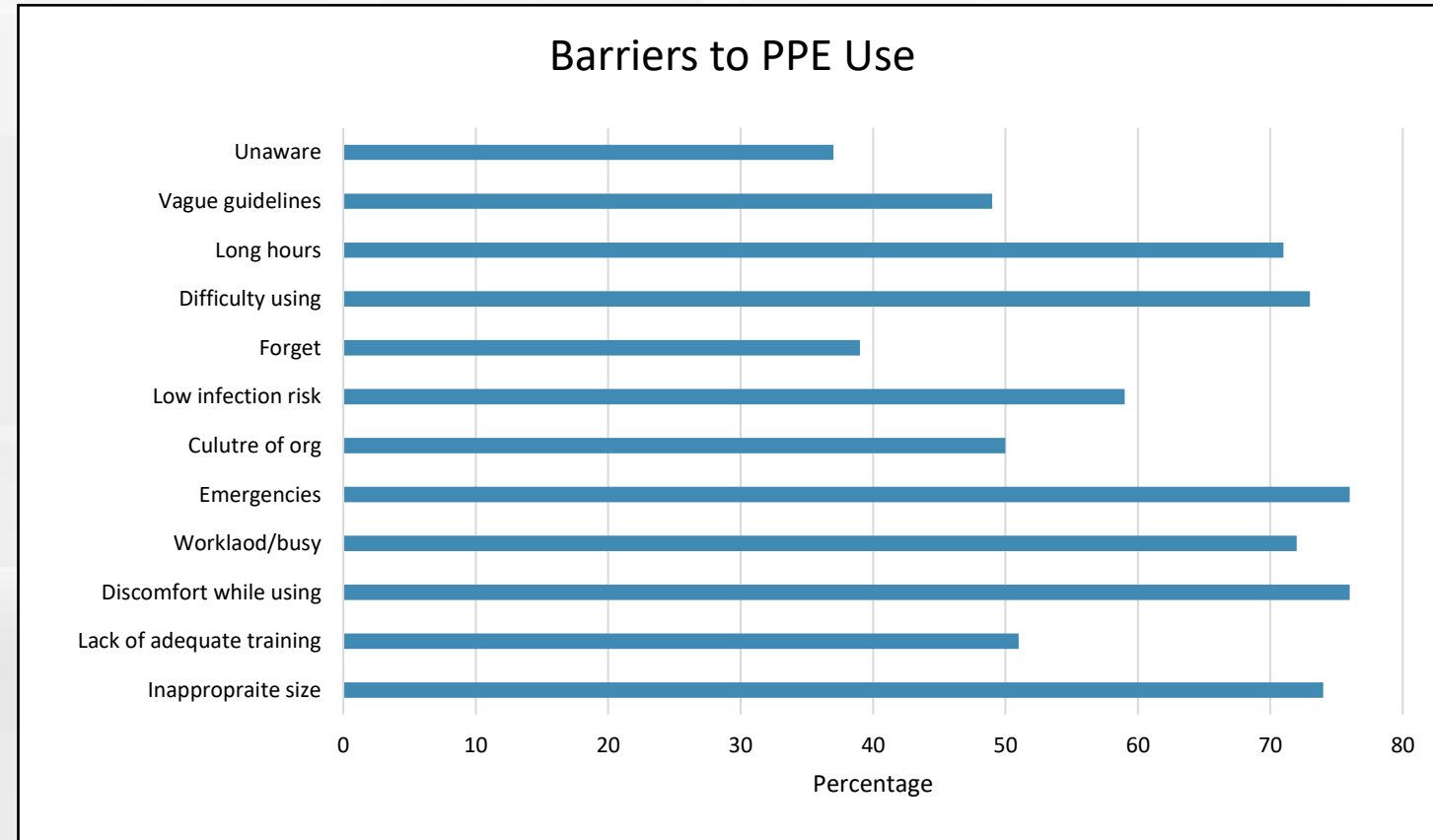


Least  
effective



- Non-compliance = preventable risk
- Emerging pathogens (e.g., Candida auris, COVID) require us to reinforce basics
- Even experienced staff show “practice drift”

**72.8% feels PPE interferes with patient care**



**Prompt: “What’s one area of SP you see drift the most?”**

**Treat all blood, body fluids, secretions and excretions as infectious-except sweat**

- All patients
- All the time

## Core Elements of Standard Precautions

Hand Hygiene



PPE Selection and use



Respiratory hygiene cough etiquette



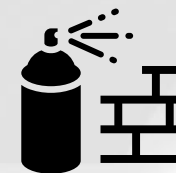
Safe Injection Practices



Patient Placement



Cleaning and disinfection



Handling of laundry and waste



## MMWR- Guideline for Hand Hygiene in Health-Care Settings

### Prevent transmission

- Hand hygiene is the single most important measure for preventing transmission of pathogens in health care.

### Alcohol-based hand rubs vs soap

- ABHR is preferred in many clinical settings over soap and water.

### 5 moments

- Use indications (“moments”) and proper technique matter.

### Compliance

- Behavior change and multifaceted strategies are necessary to improve compliance.

### Product

- Selecting and maintaining hand hygiene products and systems is critical to sustainability.



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## PPE and Standard Precautions

- Based on the nature of anticipated exposure

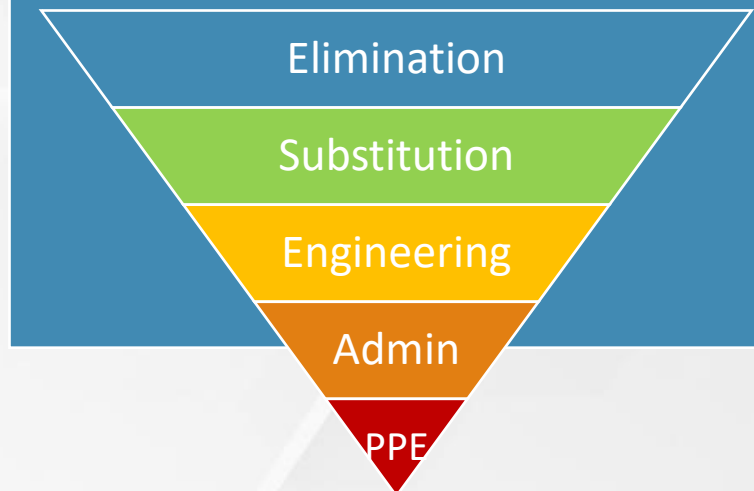
## Use of Transmission-Based Precautions

- Pathogen suspected or confirmed

## Donning and Doffing

- Sequence
- Hand Hygiene

## Hierarchy of Controls



## Product Selection

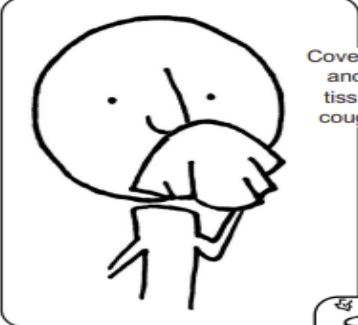
- Comfort
- Accessibility
- Supply chain

# Respiratory Hygiene

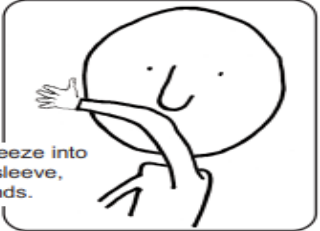
1. Contain respiratory secretions at the source
2. Facilities must supply resources to support etiquette
3. Masking and spatial separation for symptomatic individuals
4. Use of visual prompts and communication to reinforce behavior

Stop the spread of germs that make you and others sick!


# Cover your Cough




Cover your mouth and nose with a tissue when you cough or sneeze or cough or sneeze into your upper sleeve, not your hands.



Put your used tissue in the waste basket.




You may be asked to put on a surgical mask to protect others.









## Clean your Hands

after coughing or sneezing.



Wash hands with soap and warm water for 20 seconds or clean with alcohol-based hand cleaner.

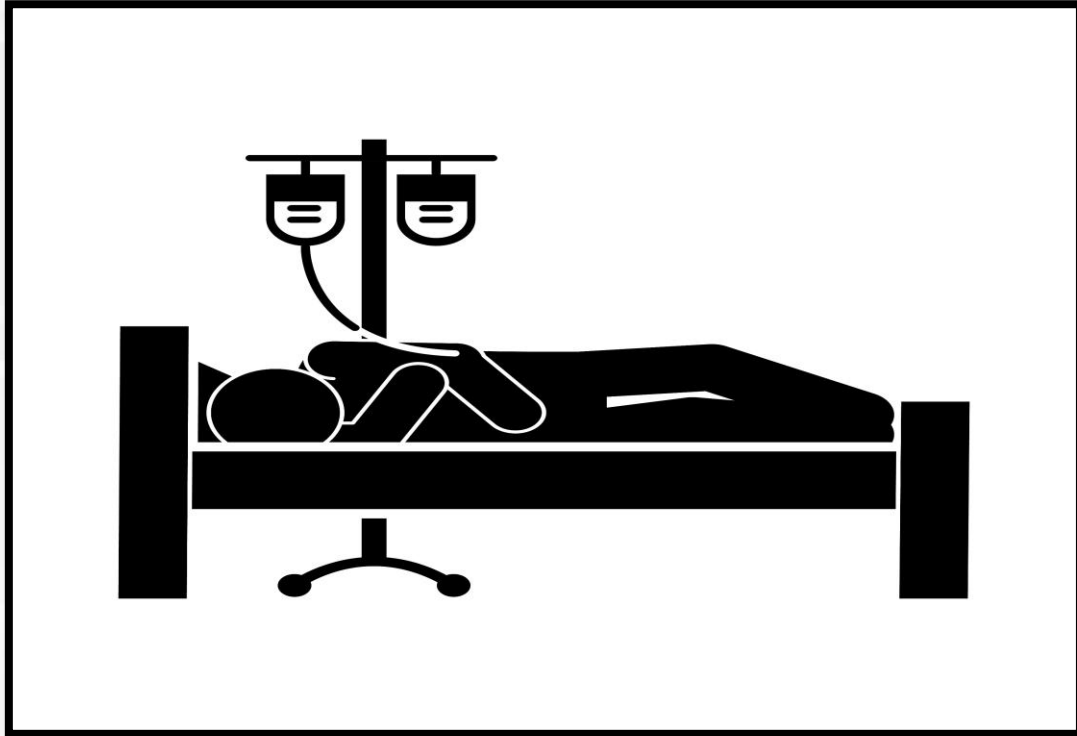


# Safe Injection

- Prepare and administer aseptically
- Use ONE Needle, ONE Syringe ONE time
- No common source solutions (flush)
- Single-dose meds for single-patient use
- Multi-dose vials dedicated to ONE patient when possible
- Proper disposal and containers
- Mask for epidurals
- Blood Glucose monitoring
- Evaluation- Sharps injury prevention program





- Single-Patient Rooms
- Cohorting When Single Rooms Aren't Available
  - Requires framework and coordination
- Patient Placement in Ambulatory Settings Emphasizes Source Control
- Special Considerations for Vulnerable Patients

# Cleaning and Disinfection

- Properly handle, clean, and disinfect patient care equipment and instruments/devices
- Clean and disinfect the environment appropriately



## Cleaning

- Removal of soil and organic material from surfaces
- Required before disinfection and sterilization can occur



## Disinfection

- Destroy microorganisms on surfaces
- Failure to properly disinfect surfaces after cleaning may lead to microbial transmission



- Laundry rarely causes transmission
- Proper handling and transport prevents contamination
- The laundry process must ensure hygienically clean textiles
- Facilities should maintain safe laundry operations
- Special items require additional considerations (e.g., sterile items)





# Standard Precautions- Common Gaps



“Where does your facility see the biggest compliance gap?”

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or



# IP's role in Standard Precautions

## Policy & Procedure

- Develop, review and update Standard Precautions related policies and implement procedures based on the latest guidelines and evidence-based practice

## Education & Training

- Ensure education and training on Standard Precautions is sufficient
  - e.g., hand hygiene, use of PPE, and safe injection practices
- Partner with education teams to address gaps in training and education

## Performance Monitoring & Evaluation

- Regularly monitor and audit compliance with standard precautions
- Collect, analyze and report data on adherence to standard precautions
- Utilize data to identify and recommend areas for improvement
- Ensure IPC practices are in place to appropriately address gaps and risks related to Standard Precautions

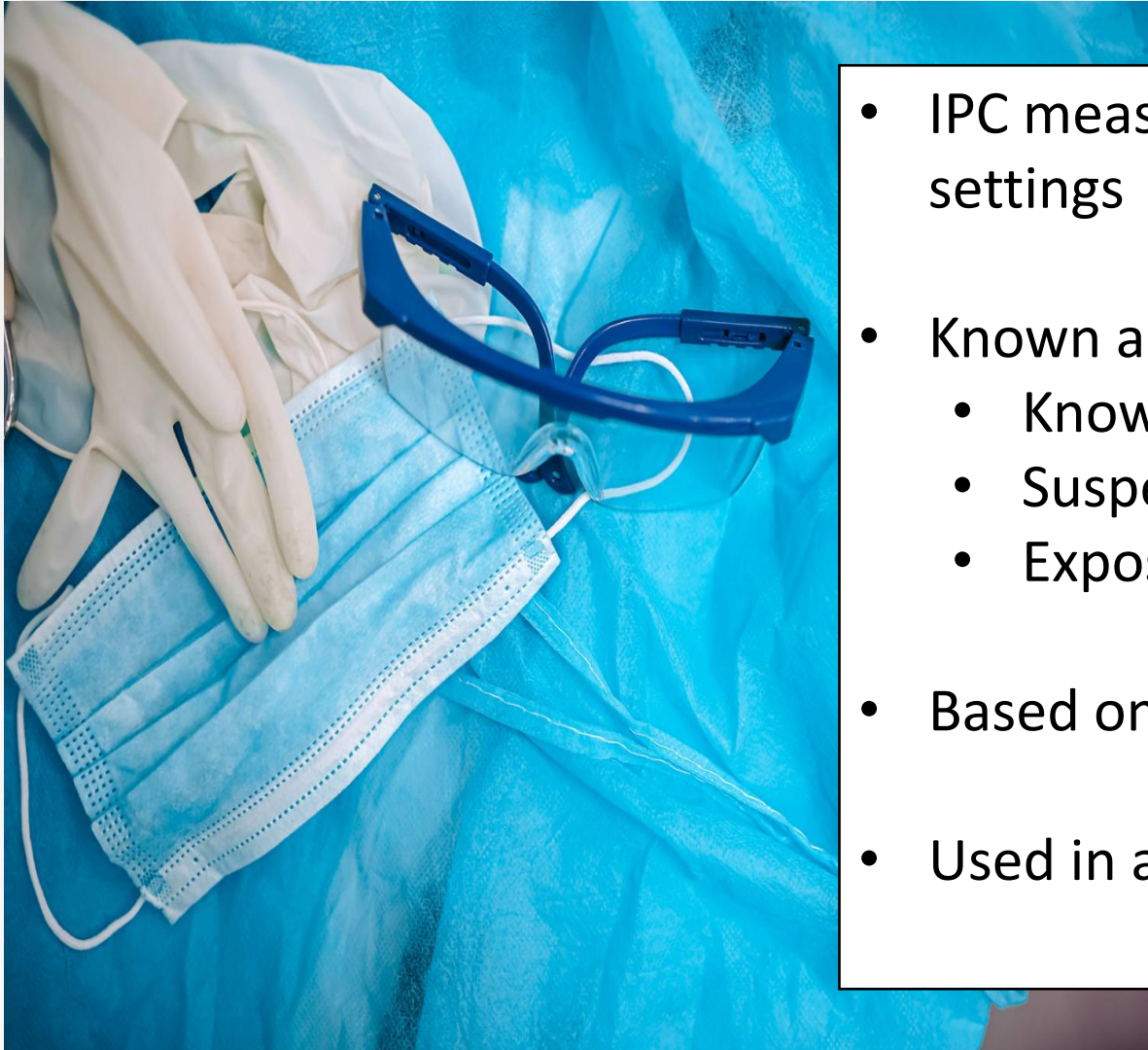
## Communication & Collaboration

- Work closely with other healthcare professionals to ensure a coordinated approach to standard precautions
- Communicate effectively with all staff levels to reinforce the importance of standard precautions and address any barriers to compliance

## Innovation & Improvement

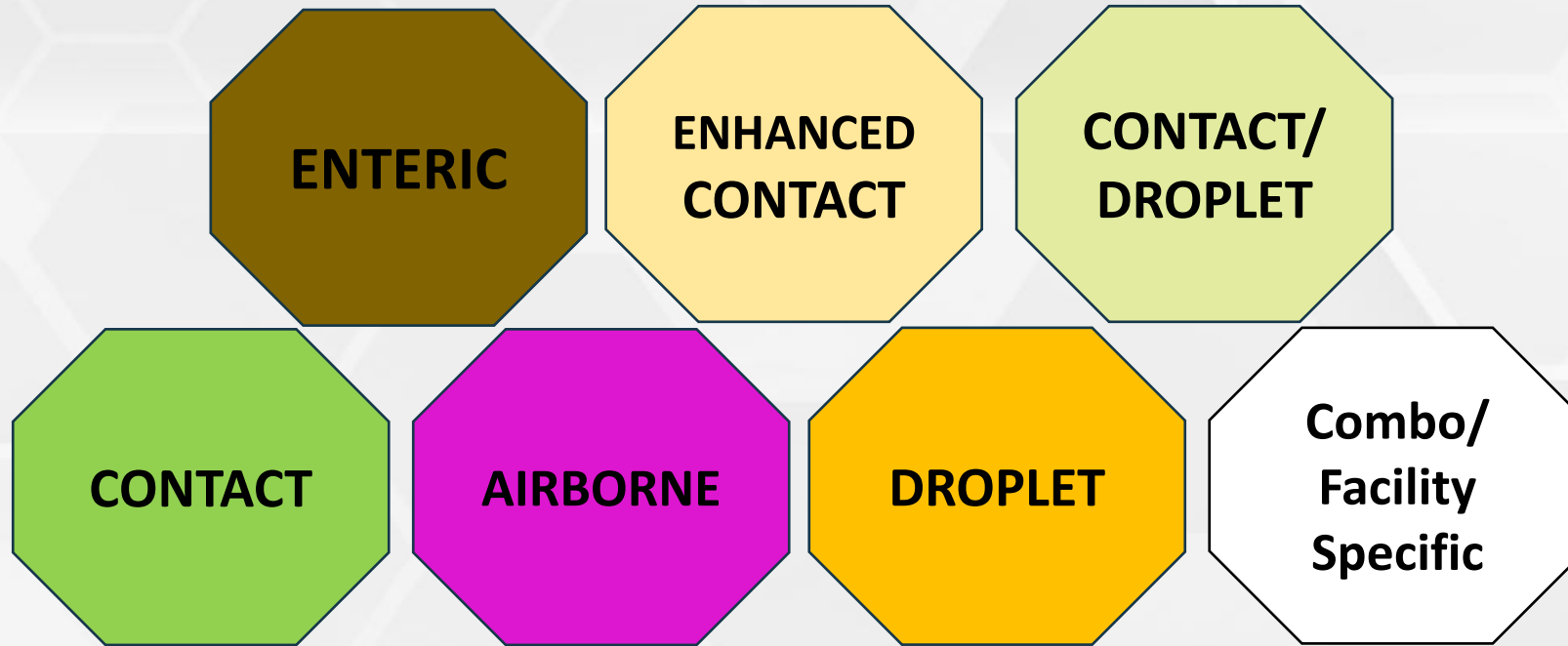
- Continuously seek out and implement new strategies, technologies, and practices that can enhance the effectiveness of standard precautions
- Stay updated on the latest research and incorporate evidence-based practices into infection prevention programs
- Develop and implement strategies to mitigate identified risks and ensure the consistent application of standard precautions

# Transmission Based Precautions



- IPC measures to prevent transmission in healthcare settings
- Known and suspected disease processes
  - Known pathogens (infection and colonization)
  - Suspected syndromes and symptoms
  - Exposure history
- Based on the mode of transmission
- Used in addition to Standard Precautions

# Transmission Based Precautions



## Transmission-Based Precautions

Hand Hygiene

PPE Selection and use

Respiratory hygiene cough etiquette

Safe Injection Practices

Patient Placement

Cleaning and disinfection

Handling of laundry and waste

# Contact Precautions



## PPE

- Gloves
- Gown

## Patient Placement

- Single room
- Cohorting

## Hand Hygiene

- Before entering and exiting
- ABHR or Soap and Water (unless during outbreaks)

## Patient Movement

- Limited to medically necessary purposes
- Cover infected areas

## Cleaning and Disinfection

- High touch surfaces
- At least daily or before use by another patient

# Droplet Precautions

## PPE

- Mask
- Eye protection

## Patient Placement

- Single room
- Cohorting

## Hand Hygiene

- Before entering and exiting
- Soap and Water or ABHR

## Patient Movement

- Limited to medically necessary purposes
- Patient wears a mask

## Cleaning and Disinfection

- High touch surfaces
- At least daily or before use by another patient

## Respiratory Hygiene

- Instruct patients to cover their cough

## BACTERIA FROM RESPIRATORY DROPLETS SPREAD BY...

ONE SNEEZE



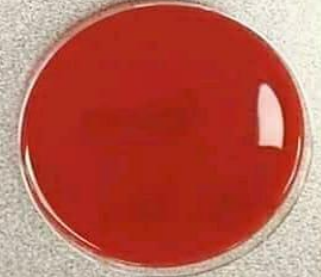
SINGING  
(1minute)



TALKING  
(1minute)



TWO COUGHS



NO MASK

MASKED

# TBP- Airborne Precautions

## PPE

- Particulate Filter Respirator (N95, PAPR, CAPR, etc.)

## Patient Placement

- Single room
- Airborne Infection Isolation Room (AIIR)
- Restrict susceptible HCW

## Hand Hygiene

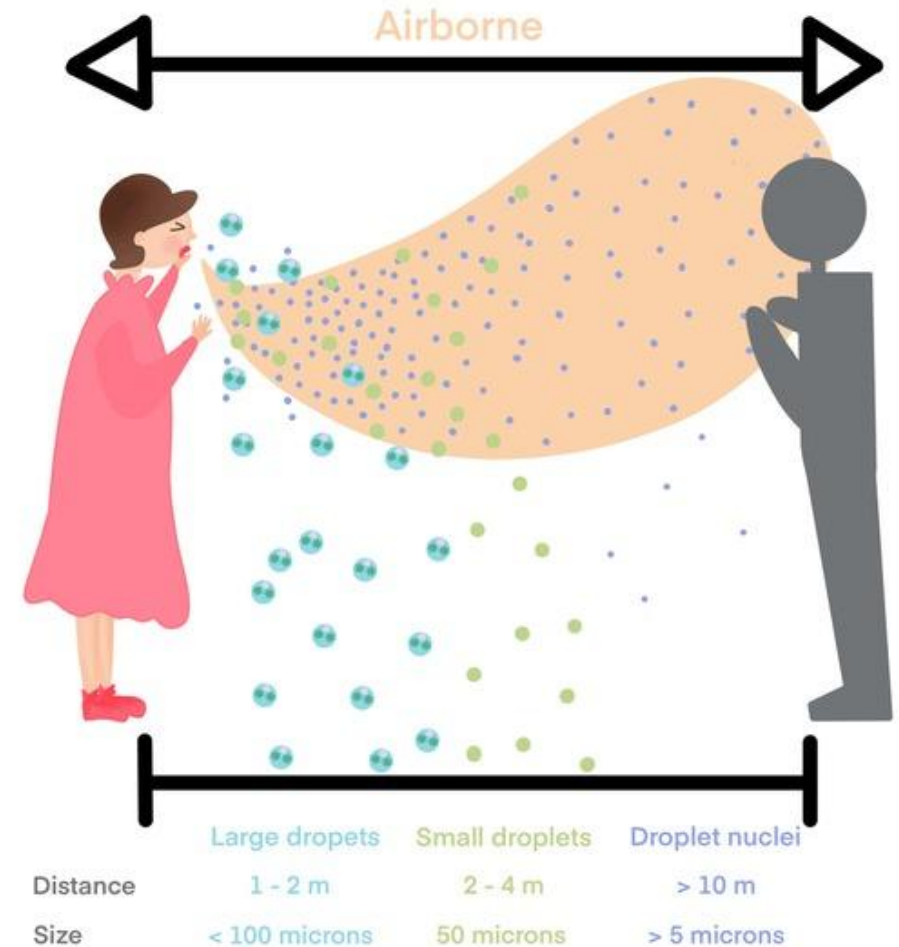
- Before entering and exiting
- Soap and Water or ABHR

## Patient Movement

- Limited to medically necessary purposes
- Patient wears a mask/Respiratory etiquette
- Door Remains Closed

## Cleaning and Disinfection

- High touch surfaces
- At least daily or before use by another patient



# Combination and facility-specific

## Contact-Droplet

- Pneumonia
- Adenovirus
- Streptococcal disease (group A strep)
- Some Respiratory Viruses

## Airborne-Droplet

- Varicella Zoster
- Shingles
- Extrapulmonary Tuberculosis
- Smallpox

## Enhanced

- *Candida auris*
- Pan resistant organisms
- Carbapenem resistant organisms

## Enteric

- *Clostridioides difficile*
- *Norovirus*

## Prepare

- Monitor trends
- Training and education

## Identify, Isolate Isolate

- Rapid identification and isolation
- Surveillance and prompt initiation of TBP
- Collaboration with lab, EVS, nursing, and facilities

## Ensure availability & accessibility

- At the point of care

## Monitoring adherence

- Monitor and audit adherence

# IP Role in TBP

## Policy & Procedure

- Develop and update policies and implement procedures for TBPs based on the latest guidelines and evidence-based practice

## Education & Training

- Ensure training is sufficient for healthcare workers on TBPs
- Partner with education teams to address gaps in training and education
- Partner with education teams to ensure “Just In Time Training” takes place when emerging pathogens occur

## Performance Monitoring & Evaluation

- Monitor and audit compliance with TBP
  - *Ensure unrestricted access to PPE (easily accessible at point of use)*
  - *Ensure proper isolation and PPE use while TBPs are active*
  - *Verify appropriate discontinuation of TBPs*
  - *Validate proper room and device cleaning and disinfection*
- Review the incidence of infections and organisms requiring TBPs in the facility
- Utilize audit data to identify and recommend areas for improvement
- Ensure IPC practices are in place to appropriately address TPBs gaps and risks

## Communication & Collaboration

- Work closely with other departments to ensure a coordinated approach to TBPs
- Ensure processes for communicating isolation needs to healthcare workers, EVS etc.
- Help specialty departments and services to implement TBPs in their unique settings

## Innovation & Improvement

- Identify and implement new strategies, technologies and practices that can enhance the utilization of TBPs
- Stay up to date on circulating pathogens in the local and global scale
- Develop and implement strategies to mitigate identified risk and ensure the consistent application of TBPs

## UNC Statewide Program For Infection Control and Epidemiology

Date/Shift/Unit	Role	Compliant	Missed Opportunity	Observation Type
<b>Key:</b> P/Provider = Physician, resident, PA, NP, or student of role N/Nursing = RN, LPN, CNA, NA, CMT, MT, or student of role AH/Allied Health = PT/OT, Resp. Tech, Rad Tech, Lab Tech, or student SS/Support Staff (ancillary staff) =Dietary, Front Desk, House Keeping/ EVS				
				<b>Observation/Encounter type examples:</b> Glove use prior to contact or potential contact with blood & body fluids, gown and glove use with contact isolation precautions, mask use with droplet precautions or potential exposure to infectious droplets, high level respirator with airborne precautions or potential exposure such as aerosolized generating.
	P N AH SS	<input type="radio"/>	<input type="radio"/>	
	P N AH SS	<input type="radio"/>	<input type="radio"/>	
	P N AH SS	<input type="radio"/>	<input type="radio"/>	



## Minnesota Department of Health PPE Audit Tool

Role	Action	Hand Hygiene Observed	Transmission Based Precautions and PPE Donned
<input type="checkbox"/> RN <input type="checkbox"/> LPN <input type="checkbox"/> CNA <input type="checkbox"/> EVS <input type="checkbox"/> PCA <input type="checkbox"/> TMA <input type="checkbox"/> DSP <input type="checkbox"/> RT <input type="checkbox"/> REHAB <input type="checkbox"/> PROVIDER <input type="checkbox"/> DIETARY <input type="checkbox"/> LAUNDRY <input type="checkbox"/> ACTIVITIES <input type="checkbox"/> FACILITIES <input type="checkbox"/> CONTRACTOR <input type="checkbox"/> OTHER: _____	<input type="checkbox"/> ENTER <input type="checkbox"/> EXIT	<input type="checkbox"/> WASH <input type="checkbox"/> RUB <input type="checkbox"/> MISSED	<input type="checkbox"/> STANDARD <input type="checkbox"/> CONTACT <input type="checkbox"/> CONTACT/DROPLET <input type="checkbox"/> DROPLET <input type="checkbox"/> ENHANCED BARRIER <input type="checkbox"/> ENHANCED RESPIRATORY  Gloves: <input type="checkbox"/> Yes <input type="checkbox"/> No    Gown: <input type="checkbox"/> Yes <input type="checkbox"/> No Gloves: <input type="checkbox"/> Yes <input type="checkbox"/> No    Gown: <input type="checkbox"/> Yes <input type="checkbox"/> No    Mask: <input type="checkbox"/> Yes <input type="checkbox"/> No Mask: <input type="checkbox"/> Yes <input type="checkbox"/> No Gloves: <input type="checkbox"/> Yes <input type="checkbox"/> No    Gown: <input type="checkbox"/> Yes <input type="checkbox"/> No Gloves: <input type="checkbox"/> Yes <input type="checkbox"/> No    Gown: <input type="checkbox"/> Yes <input type="checkbox"/> No N95 or PAPR: <input type="checkbox"/> Yes <input type="checkbox"/> No    Eye Protection: <input type="checkbox"/> Yes <input type="checkbox"/> No

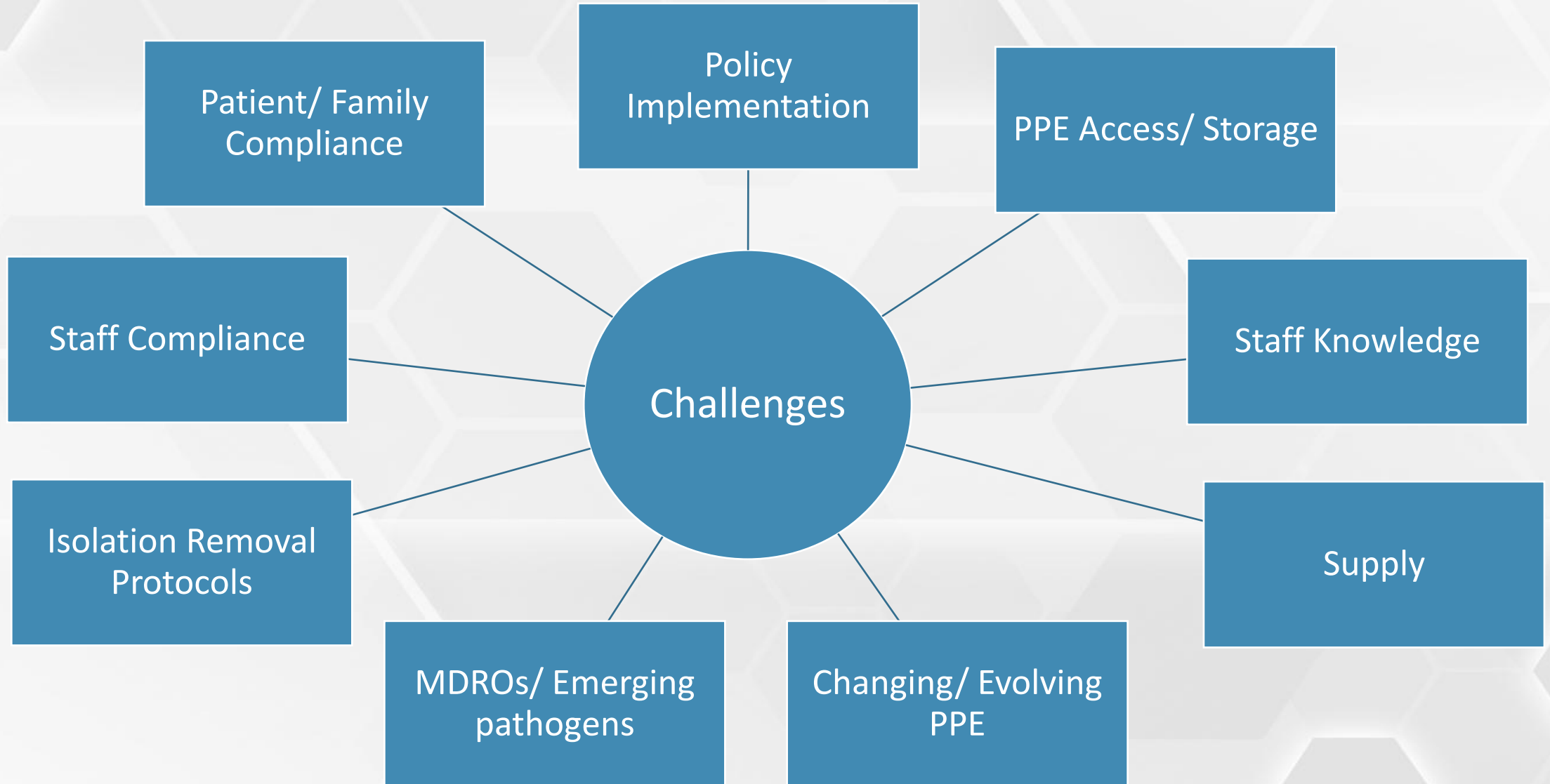


## Communication and Collaboration

- Clear, timely communication
- Collaboration across departments
- Approach non-compliance as risk awareness, not blame
- Partner with leaders to sustain compliance



# Common Challenges



## Case Study 1

- Male patient
- Admitted two days ago for pneumonia
- Started on antibiotics
- 3 loose stools in the past 24 hours
- Semi-private room



## PPE

- Gloves
- Gown

## Patient Placement

- Single room
- Cohorting

## Hand Hygiene

- Before entering and exiting
- ABHR or Soap and Water

## Patient Movement

- Medically necessary purposes
- Cover infected areas

## Cleaning and Disinfection

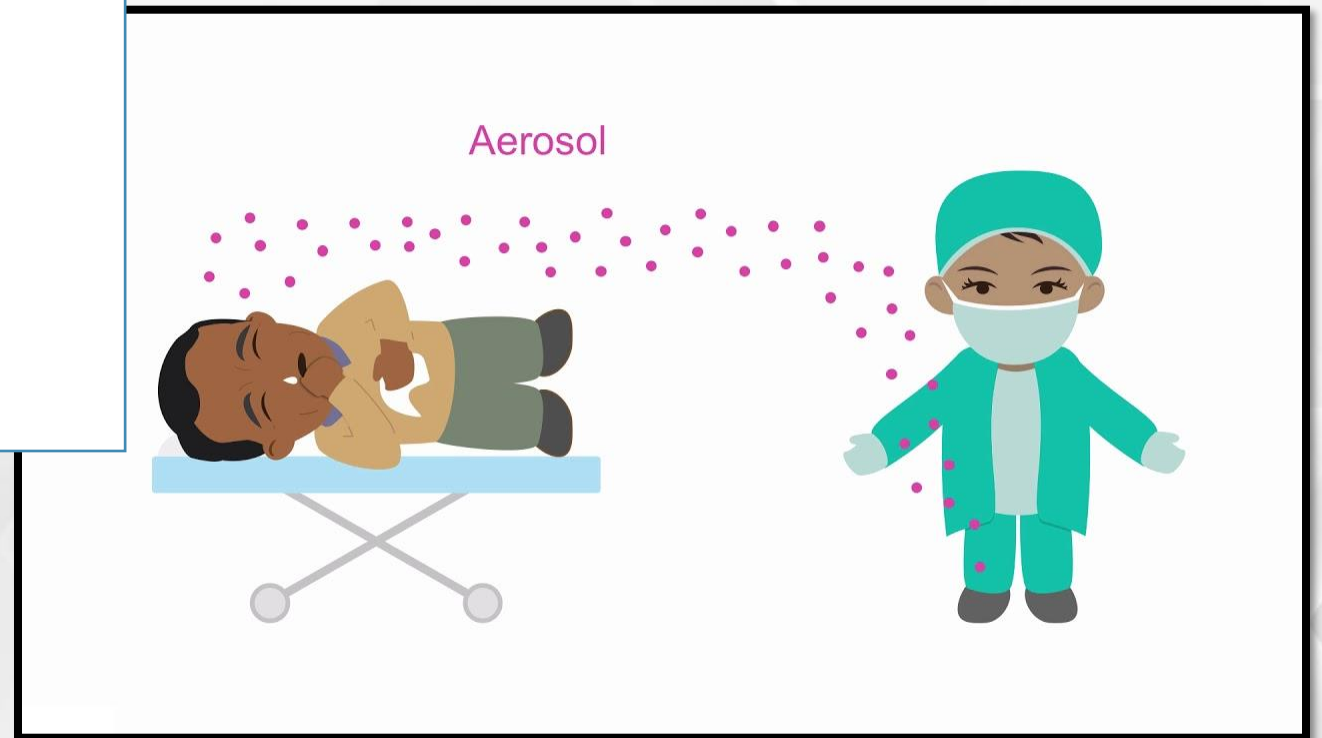
- High touch surfaces
- At least daily or before use by another patient

## What is the IP's role?

1. Initiation of Contact Precautions
2. Isolate based on symptoms
3. Education
4. Communicate
5. Educate
6. Reassess
7. Review testing

## Case Study 2

- Patient going for a procedure
- Pt coughing productive sputum
- Facility policy for AGP
- AIIR rooms unavailable



## IP Role

- Upgrade to airborne
- Educate staff
- Communicate with teams
- Review for gaps

### PPE

- Particulate Filter Respirator (N95, PAPR, CAPR, etc.)

### Patient Placement

- Single room
- Airborne Infection Isolation Room (AIIR)
- Restrict susceptible HCW

### Hand Hygiene

- Before entering and exiting
- Soap and Water or ABHR

### Patient Movement

- Limited to medically necessary purposes
- Patient wears a mask/Respiratory etiquette
- Door Remains Closed

### Cleaning and Disinfection

- High touch surfaces
- At least daily or before use by another patient

## Case Study 3

- HCW not wearing PPE appropriately
- PPE data shows low compliance
- Minimal leadership support





## IP Role

- Assess/audit
- Educate staff
- Communicate with teams
- Assess barriers

# Key Takeaways

Standard  
Precautions:  
Non-  
negotiable  
foundation

Transmission-  
Based  
Precautions:  
Dynamic,  
pathogen-  
specific layer

IPs drive  
compliance  
through  
observation,  
education,  
and leadership

**Join Us Next Time:**

**No session in December**

**Next Webinar: Personal Protective  
Equipment Part 2**

**January 15, 2026  
12-1 CST**



## Self-Led Infection Control Evaluation SLICE



SLICE Domains	
Infection Prevention & Control Program	Transmission-based & Standard Precautions
Hand Hygiene	PPE
Surveillance	CAUTI
Injection Safety	CLABSI
Environment of Care	VAE
Environmental Cleaning	Non-Ventilator Associated Pneumonia
Non-Critical Device Reprocessing	SSI
Semi-Critical Device Reprocessing	Clostridioides difficile
Critical Device Reprocessing	

# Questions

# References

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PPE Audit Tools	<a href="#">PPE-audit-tool-version-2.docx.pdf</a> <a href="#">Personal Protective Equipment (PPE) Observational Tool</a>
Duration of Isolation	<a href="#">Appendix A: Type and Duration of Precautions Recommended for Selected Infections and Conditions   Infection Control   CDC</a>
Cover your Cough Poster	<a href="https://www.cdc.gov/flu-resources/php/resources/cover-your-cough-health-care-poster.html">https://www.cdc.gov/flu-resources/php/resources/cover-your-cough-health-care-poster.html</a>
Fundamentals of Injection Safety Webinar	<a href="#">Webinars   innovateIPC.org</a>
Environmental Cleaning & Non-Critical Device Reprocessing	<a href="#">Webinars   innovateIPC.org</a>
Respiratory Protection Program	<a href="#">Hospital Respiratory Protection Program Toolkit   NIOSH   CDC</a>

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  - OR
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